

**ITEM 1: COVER PAGE FOR  
PART 2B OF FORM ADV:  
BROCHURE SUPPLEMENT**

**AUG 2023**

**DAVID A. MELLING**

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**FIRM CONTACT: DAVID MELLING, CHIEF COMPLIANCE**

**OFFICER FIRM WEBSITE [WWW.AGILISWEALTH.COM](http://WWW.AGILISWEALTH.COM)**

**This brochure supplement provides information about David A. Melling that supplements our brochure. You should have received a copy of that brochure. Please contact Mr Melling if you did not receive Agilis Wealth Management, LLC's brochure or if you have any questions about the contents of this supplement.**

**Additional information about Mr. Melling is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).**

## **Item 2 Educational Background and Business Experience**

### **David A Melling**

#### **Born 1965**

1989-2015, Agilis Wealth Management, LLC- Investment Adviser Representative

Mr. Melling holds the FINRA Series 63 and 65 securities licenses.

CRD #2155672

#### **Employment history**

1/2003 to Present-Agilis Wealth Management

7/1991 to 12/2018 Royal Alliance Assoc., Inc.

12/1989 to 1/2003 Personal Benefit Services of Colorado

Mr. Melling has earned a CFS designation. While not currently an active CFS, CFS<sup>®</sup> is the oldest designation in the mutual fund industry. As a certified funds specialist, candidates learn criteria such as alpha, beta, correlation coefficient, first-auto correlation, R-squared, and standard deviation that should be incorporated in the selection process.

With mutual funds training, a Certified Fund Specialist<sup>®</sup> is able to evaluate and compare financial measurements and benchmarks when constructing a portfolio. Modern portfolio theory (MPT) is a key part of the program; its components are broken down and detailed in terms the advisor can easily understand and convey to a client. Armed with MPT and other selection criteria learned as part of the mutual fund education, a suitable and efficient portfolio using closed-end, exchange-traded, and open-end funds can be derived.

Dave has over 30 years experience managing fee based accounts for individual clients and small businesses. He began offering managed accounts in 1994. He has continually managed fee based accounts for clients of his firm since 1994.

## **Item 3 Disciplinary Information**

If there are legal or disciplinary events material to your evaluation of Mr. Melling, we are required to disclose all material facts regarding those events.<sup>1</sup>

We have nothing to disclose in this regard.

## **Item 4 Other Business Activities**

A. If Mr. Melling is actively engaged in any investment-related business or occupation, including if he is registered, or has an application pending to register, as a broker-dealer, registered representative of a broker-dealer, futures commission merchant ("FCM"), commodity pool operator ("CPO"), commodity trading advisor ("CTA"), or an associated person of an FCM, CPO, or CTA, we are required to disclose this fact and describe the business relationship, if any, between the advisory business and the other business.

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<sup>1</sup> Note: Our firm may, under certain circumstances, rebut the presumption that a disciplinary event is material. If an

event is immaterial, we are not required to disclose it. When we review a legal or disciplinary event involving Mr. Melling to determine whether it is appropriate to rebut the presumption of materiality, we consider all of the following factors: (1) the proximity of Mr. Melling to the advisory function; (2) the nature of the infraction that led to the disciplinary event; (3) the severity of the disciplinary sanction; and (4) the time elapsed since the date of the disciplinary event. If we conclude that the materiality presumption has been overcome, we prepare and maintain a file memorandum of our determination in our records. We follow SEC rule 204-2(a)(14)(iii) and similar state rules.

1. If a relationship between the advisory business and Mr. Melling's other financial industry activities creates a material conflict of interest with you, the SEC requires us to describe the nature of the conflict and generally how we address it.

We have nothing to disclose in this regard.

2. If Mr. Melling receives commissions, bonuses or other compensation based on the sale of securities or other investment products, including as a broker-dealer or registered representative, and including distribution or service ("trail") fees from the sale of mutual funds, we have to disclose this fact. If this compensation is not cash, we are required to explain what type of compensation Mr. Melling receives. We must explain that this practice gives Mr. Melling an incentive to recommend investment products based on the compensation received, rather than on your needs.

We have nothing to disclose in this regard.

- B. If Mr. Melling is actively engaged in any business or occupation for compensation not discussed in response to Item 4.A, above, and the other business activity or activities provide a substantial source of his income or involve a substantial amount of his time, we are required to disclose this fact and must describe the nature of that business. If the other business activities represent less than 10 percent of Mr. Melling's time and income, we may presume that they are not substantial.

We have nothing to disclose in this regard.

### **Item 5 Additional Compensation**

If someone who is not a client provides an economic benefit to Mr. Melling for providing advisory services, we are required to generally describe the arrangement. For purposes of this Item, economic benefits include sales awards and other prizes, but do not include his regular salary. Any bonus that is based, at least in part, on the number or amount of sales, client referrals, or new accounts should be considered an economic benefit, but other regular bonuses should not.

We have nothing to disclose in this regard.

### **Item 6 Supervision**

We are required to explain how we supervise Mr. Melling, including how we monitor the advice he provides to you. Our firm has to provide the name, title and telephone number of the person responsible for supervising Mr. Melling's advisory activities on behalf of our firm.

David Melling, Chief Compliance Officer of Agilis Wealth Management, LLC supervises Mr. Melling's activities to ensure compliance with our firm's code of ethics. Please contact Mr. Melling if you have any questions about Mr. Melling's brochure supplement at (303)991-6411.

### **Item 7 Requirements for State-Registered Advisers**

A. In addition to the events listed in Item 3 of Part 2B, if Mr. Melling has been involved in one of the events listed below, we disclose all material facts regarding the event.

1. An award or otherwise being found liable in an arbitration claim alleging damages in excess of \$2,500, involving any of the following:

- (a) an investment or an investment-related business or activity;
- (b) fraud, false statement(s), or omissions;
- (c) theft, embezzlement, or other wrongful taking of property;
- (d) bribery, forgery, counterfeiting, or extortion; or
- (e) dishonest, unfair, or unethical practices.

August of 2002, Mr. Melling's Errors and Omissions insurance company settled a retail client claim for \$25,000. Mr. Melling was required to personally contribute \$1250 toward the award.

2. An award or otherwise being found liable in a civil, self-regulatory organization, or administrative proceeding involving any of the following:

- (a) an investment or an investment-related business or activity;
- (b) fraud, false statement(s), or omissions;
- (c) theft, embezzlement, or other wrongful taking of property;
- (d) bribery, forgery, counterfeiting, or extortion; or
- (e) dishonest, unfair, or unethical practices

We have nothing to disclose in this regard.

B. If Mr. Melling has been the subject of a bankruptcy petition, we must disclose that fact, the date the petition was first brought, and the current status.

We have nothing to disclose in this regard.